

Section 1: General characteristics of the trader				
1.1	When did you start your trading business? <i>[circle one of the numbers]</i>	1	Less than 1 year ago	
		2	Between 1-3 years ago	
		3	More than 3 years ago	
		99	No answer	
1.2	In what type of trading activities are you involved? <i>[circle all the numbers that apply]</i>	1	Purchase from traders, sell to consumers (=retailing)	
		2	Purchase from traders, sell to traders (=wholesaling)	
		3	Purchase from farmers, sell to traders (=collecting)	
		4	Other (specify: _____)	
99	No answer			
1.3	Please indicate the type of products in which you are operating? <i>[circle all the numbers that apply]</i>	1	Cereals	
		2	Fresh vegetables and/or fruit	
		3	Other vegetables, oil-containing food commodities	
		4	Other cash crops	
		5	Livestock	
		6	Fish and/or meat	
		7	Other (specify: _____)	
		99	No answer	
1.4	Please indicate the three most important – in terms of quantities - commodities traded normally by you? <i>[write in the empty cells: 1: for the most important commodity 2: for the second most important commodity 3: for the third most important commodity]</i>	1	Local maize, average quality	<input type="text"/>
		2	Local maize, low quality	<input type="text"/>
		3	Red local sorghum	<input type="text"/>
		4	Imported sorghum	<input type="text"/>
		5	Imported broken rice	<input type="text"/>
		6	Imported long rice	<input type="text"/>
		7	Local rice (processed)	<input type="text"/>
		8	Cow peas, low quality	<input type="text"/>
		9	Cow peas, average quality	<input type="text"/>
		10	Beans /peas	<input type="text"/>
		11	Groundnuts	<input type="text"/>
		12	Adult male goat	<input type="text"/>
		13	Adult male sheep	<input type="text"/>
		14	Adult cattle-bull	<input type="text"/>
		15	Other (specify: _____)	<input type="text"/>
		99	No answer	
<i>[The interviewer should by now determine the commodity that is selected for the remainder of the interview] Please insert code here: <input type="text"/></i>				
Section 2: Volumes and flows				
questions 2.1 – 2.2: see Analysis Plan, section 'Market Response' topic 1C & D				
questions 2.3 – 2.9: see Analysis Plan, section 'Availability' topic 2				
2.1	Please provide an estimate of the number of customers to whom you have sold the selected commodity during the past week; <i>[circle one of the numbers]</i>	1	Less than 10	
		2	More than 10, Less than 70	
		3	More than 70, less than 140	
		4	More than 140	
99	No answer			
2.2	Please indicate if this number (see 2.1) is higher, lower or the same level compared to before the shock or usually during the same period (if no shock)? <i>[circle one of the numbers]</i>	1	Higher	
		2	Lower	
		3	Same level	
		99	No answer	
2.3	Please indicate if your sales during the last week of the selected commodity has increased, decreased or stayed the same compared to before the shock or usually during the same period (if no shock)? <i>[circle one of the numbers]</i>	1	Increased by more than 50%	
		2	Increased by 10-49%	
		3	Increased by 0-9%	
		4	No change	
		5	Decreased by more than 50%	
		6	Decreased by 10-49%	
		7	Decreased by 0-9%	
		99	No answer	
2.4	If the sales volume is higher or lower (see 2.3), please provide the most important reason for this change? <i>[circle one of the numbers]</i>	88	Not applicable (if 2.3 = no change or no answer)	
		1	Better harvest than last year	
		2	Worse harvest than last year	
		3	More institutional procurement	
		4	Less institutional procurement	
		5	More effective demand from other districts/abroad	
		6	Less effective demand from other districts/abroad	
		7	More supply coming from other districts/abroad	
		8	Less supply coming from other districts/abroad	
		9	More demand from consumers in district	
		10	Less demand from consumers in district	
		11	Higher profit margins	
		12	Lower profit margins	
		13	Less capital/credit available for trade	
		14	More capital/credit available for trade	
15	Increased risk			
16	Lower risk			
19	Other (specify: _____)			
99	No answer			

2.5	If the sales volume is lower than before the shock or usually during the same period (<i>if no shock</i>), (see 2.3), please indicate when the trader expects that sales will recover to the normal level? <i>[circle one of the numbers]</i>	88	Not applicable
		1	Within one week
		2	Within one week to one month
		3	Within one month to one year
		4	Longer than one year or never
		99	No answer
2.6	What is currently your most important source of the selected commodity (where does the trader buy the commodity)? <i>[circle one of the numbers]</i>	1	Farmers within district
		2	Farmers outside district
		3	Traders within district
		4	Traders in other districts within the country
		5	Traders in other countries
		6	Other (specify: _____)
99	No answer		
2.7	What was your most important source of the selected commodity before the shock or usually during the same period (<i>if no shock</i>) (where did the trader buy the commodity)? <i>[circle one of the numbers]</i>	1	Farmers within district
		2	Farmers outside district
		3	Traders within district
		4	Traders in other districts within the country
		5	Traders in other countries
		6	Other
99	No answer		
2.8	If the most important source of the selected commodity has changed (compare 2.6 and 2.7), please provide the most important reason for this change? <i>[circle one of the numbers]</i>	88	Not applicable
		1	Better harvest than last year
		2	Worse harvest than last year
		3	More institutional procurement
		4	Less institutional procurement
		5	More effective demand from other districts/abroad
		6	Less effective demand from other districts/abroad
		7	More supply coming from other districts/abroad
		8	Less supply coming from other districts/abroad
		9	More demand from consumers
		10	Less demand from consumers
		11	Higher profit margins
		12	Lower profit margins
		13	Less capital/credit available for trade
		14	More capital/credit available for trade
		15	Increased risk
		16	Lower risk
		19	Other (specify: _____)
		99	No answer
2.9	Do you think the most important source of the selected commodity will change during the coming six months, compared to the current source (see 2.6)? If so, to what source? <i>[circle one of the numbers]</i>	1	No change of most important source
		2	Yes, to farmers within district
		3	Yes, to farmers outside district
		4	Yes, to traders within district
		5	Yes, to traders in other districts
		6	Yes, to traders in other countries
		7	Yes, to other sources (specify: _____)
		99	No answer
Optional: 2.10	Could you please provide an indication of your stock levels (of the selected commodity) compared to before the shock or usually during the same period (<i>if no shock</i>)?	1	More or less the same level
		2	My stock level is much higher
		3	My stock level is somewhat higher
		4	My stock level is much lower
		5	My stock level is somewhat lower
		99	No answer
Section 3: Constraints and response capacity			
Analysis Plan, section 'Market response' topic 3 & 4			
<i>[Questions 3.3-3.7 of this section should only be discussed with the trader IF the selected commodity is an essential food item. If the selected commodity for this interview is a cash crop/product, please pose questions 3.1-3.2 and proceed to the following section.]</i>			
3.1	What are the three most important constraints preventing you to double the amount you sell (of the selected commodity)? <i>[circle three of the numbers]</i>	1	Lack of own capital
		2	Lack of credit / credit is too expensive
		3	Low or varying quality of produce (supply)
		4	Low or irregular quantity of produce (supply) incl. trade restrictions
		5	Lack of means of transport
		6	Poor road infrastructure / transport cost too high
		7	Too much insecurity
		8	Lack of storage
		9	Low profit margin (low sales price, high purchase price)
		10	Lack of demand
		11	Competitors would not allow me to grow so much
		12	Government would not allow me / taxes too high
		13	Too much food assistance
		14	Other(specify: _____)
99	No answer		
Optional 3.2	a. Is there a chance that a solution will be found for these constraints? b. If so, could you please indicate for which of the constraints listed under question 3.1	a.	Yes =1; No = 2; No answer: 3 []
		b.	The following constraints will be solved:

	the solutions will be found during the coming 6 months? <i>[list the codes from 3.1. or indicate no answer = 99]</i>			
3.3	In your opinion, would the sale price of the selected commodity decrease, remain the same or increase if demand on this market would in the coming six months be higher with 25%? <i>[circle one of the numbers]</i>	1 2 3 99	No change Decrease Increase No answer	
3.4	If you expect an upward pressure on prices (3.3), do you think that this will be temporary (until supply has increased) or sustained (for the period of the demand increase)? <i>[circle one of the numbers]</i>	88 1 2 99	Not applicable (if 3.2 = no change, decrease or no answer) Temporary Sustained No answer	
3.5	Assume that demand from your (existing or new) customers for the selected commodity would increase by 25%, would you have the capacity to deliver, and in what time frame? <i>[circle one of the numbers]</i>	1 2 3 4 5 99	No Yes, within a week Yes, within two weeks Yes, within a month Yes, but only after more than one month No answer	
3.6	Aid agencies are considering the use of food vouchers as a means for allowing consumers to purchase food items. Please answer the two questions and insert the following codes in the adjoining column: 1 = yes 2 = no 99 = no answer	<input type="checkbox"/> <input type="checkbox"/>	a. Have you ever participated in the use of vouchers in the past? b. Would you be willing to participate in a food voucher system in the future?	
3.7	What are the most important concerns that you have when considering participating in a voucher system? <i>[write in the empty cells: 1: for the most important reason 2: for the 2nd most important reason 3: for the 3rd most important reason]</i>	1 2 3 4 5 6 7 8 99	No interest in expanding my business Constraints to increase volume (including lack of capital, credit, supply, transport, roads, storage or security) Reliability of timely payment (voucher into cash) Too difficult to administer Counterfeiting with voucher Food price inflation Possibility of having to pay high taxes Other (specify: _____) No answer	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Section 4: Credit and stocks strategy

Questions 4.1 – 4.4: Analysis Plan, section 'Food access', topic 3

Question 4.5: Analysis Plan, section 'Market response' topic 4

4.1	Do you provide credit to some of your customers? <i>[circle one of the numbers]</i>	1 = yes	2 = no	99 = no answer
4.2	If 4.1 = yes, what share of your total sales is currently in credit? <i>[insert a percentage for credit and one for cash, it should add up to 100%]</i>	Credit: <input type="text"/> % of sales	Cash: <input type="text"/> % of sales	88 = not applicable 99 = no answer
4.3	Have there been any changes in the number of people who have been requesting credit compared to before the shock or usually during the same period (if no shock)? <i>[circle one of the numbers]</i>	1 = yes, less people	2 = yes, more people	3 = no, same number 99 = no answer
4.4	Do you provide more credit to your customers compared to before the shock or usually during the same period (if no shock)? <i>[circle one of the numbers]</i>	1 = yes	2 = no	99 = no answer
4.5	How many weeks do you usually keep your commodity between purchases and sale?	<input type="text"/> weeks		99 = no answer

Section 5: Prices and transaction costs

Questions 5.1 – 5.4: Analysis Plan, section 'Food access' topic 1 & 4 and 'Food Availability' topic 4

Questions 5.5 – 5.6: Analysis Plan, section 'Market response' topic 1

	<i>[Introduce the concept of a completed transaction: from the moment the trader purchases the commodity until he/she sells it; and discuss a concrete example of such a completed transaction]</i>	Price (local currency in local measurement unit)	Unit	Price (local currency in kilograms)
5.1	What was the purchase price of the selected commodity?			
5.2	What is the sales price of the selected commodity?			
5.3	For a similar transaction before the shock or usually during the same period (if no shock), what was the <u>purchase</u> price of the selected commodity?			
5.4	For a similar transaction before the shock or usually during the same period (if no shock), what was the <u>sales</u> price of the selected commodity?			

[The following two questions should only be included in a procurement trader survey or in an in-depth trader survey]

5.5	<p>Could you please provide the various transaction costs for the above completed transaction (see 5.1 and 5.2) of the selected commodity?</p> <p>a. Loading b. Transport c. Off-loading d. Fumigation e. Cleaning/drying f. Bagging g. Storage h. Losses i. Transport to buyers j. Loading and off-loading k. Financial expenses l. Informal and formal taxes m. Other</p>	<p>Price (local currency in local measurement unit)</p> <p>a. b. c. d. e. f. g. h. i. j. k. l. m.</p>	<p>Unit</p>	<p>Price (local currency in kilograms)</p> <p>a. b. c. d. e. f. g. h. i. j. k. l. m.</p>
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5.6	<p>For a similar transaction before the shock or usually during the same period (<i>if no shock</i>), could you please provide the various transaction costs for the above completed transaction (see 5.3 and 5.4) of the selected commodity?</p> <p>a. Loading b. Transport c. Off-loading d. Fumigation e. Cleaning/drying f. Bagging g. Storage h. Losses i. Transport to buyers j. Loading and off-loading k. Financial expenses l. Other</p>	<p>Price (local currency in local measurement unit)</p> <p>a. b. c. d. e. f. g. h. i. j. k. l.</p>	<p>Unit</p>	<p>Price (local currency in kilograms)</p> <p>a. b. c. d. e. f. g. h. i. j. k. l.</p>
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Section 6: Price prospects
Analysis Plan, section 'Availability' topic 4

6.1	<p>What is today's sales price and what is your opinion on the sales price of the selected commodity in six months time? <i>[circle one of the numbers]</i></p>	<p>Today's price in local currency in local measurement unit: [_____]</p> <hr/> <p>Price in 6 months in local currency in local measurement unit: [_____]</p>
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6.2	<p>If there will be an increase or a decrease in sales prices (see 6.1), what is the main reason for this? <i>[circle one of the numbers]</i></p>	<table border="1"> <tr><td>99</td><td>No answer</td></tr> <tr><td>1</td><td>Lean season or bad harvest</td></tr> <tr><td>2</td><td>(Good) harvest</td></tr> <tr><td>3</td><td>More imports / inflows from surplus zones</td></tr> <tr><td>4</td><td>Less imports / inflows from surplus zones</td></tr> <tr><td>5</td><td>More exports / outflows</td></tr> <tr><td>6</td><td>Less exports / outflows</td></tr> <tr><td>7</td><td>More food assistance</td></tr> <tr><td>8</td><td>Less food assistance</td></tr> <tr><td>9</td><td>Other (specify: _____)</td></tr> <tr><td>99</td><td>No answer</td></tr> </table>	99	No answer	1	Lean season or bad harvest	2	(Good) harvest	3	More imports / inflows from surplus zones	4	Less imports / inflows from surplus zones	5	More exports / outflows	6	Less exports / outflows	7	More food assistance	8	Less food assistance	9	Other (specify: _____)	99	No answer
99	No answer																							
1	Lean season or bad harvest																							
2	(Good) harvest																							
3	More imports / inflows from surplus zones																							
4	Less imports / inflows from surplus zones																							
5	More exports / outflows																							
6	Less exports / outflows																							
7	More food assistance																							
8	Less food assistance																							
9	Other (specify: _____)																							
99	No answer																							

Specific remarks about the interview:

SECTION 2 – MARKET RESPONSE

2.1 & 2.2 (to be used for Analysis plan, section market response, topic 1)	How many retail (who sell to consumers) and wholesale traders (who sell to other traders) are there operating on the busiest day of the week on the market, for each of the following products?						
	2.1. Last week (99 = no answer)			2.2. Before the shock or usually (if no shock) (99 = no answer)			
		Commodity	a. Retail traders (number)	b. Wholesale traders (number)		a. Retail traders (number)	b. Wholesale traders (number)
	2.1.a	Maize			2.2.a		
	2.1.b	Cow peas			2.2.b		
2.1.c	Ground nuts			2.2.c			
2.3 & 2.4 (to be used for Analysis plan, section market response, topic 1 e)	How are retail prices for maize, cow peas and ground nuts determined on the market?						
	2.3. Last week			2.4. Before the shock or usually (if no shock)			
	2.3.a	Maize		2.4.a	Maize		
	2.3.b	Cow peas		2.4.b	Cow peas		
	2.3.c	Ground nuts		2.4.c	Ground nuts		
Codes for 2.3 & 2.4 1. Prices are fixed by a government official 2. Prices are fixed by several wholesalers on the market 3. Prices are fixed by several wholesalers outside of the market 4. Prices are fixed by one wholesaler on the market 5. All traders together fix a range of prices or a minimum retail price before the market begins 6. Each trader determines her own price 7. Prices are fixed by the traders' association before the market begins 8. Prices are the same as on another market 9. Other (specify: _____) 99 = no answer							
2.5 & 2.6 (to be used for Analysis plan, section market response, topic 1)	2.5. Do traders need a license to operate on this market? (yes = 1; no = 2; no answer = 99)						
	2.6. Assuming that you have the money to pay for the license, is it easy to get a license? (yes = 1; no = 2; no answer = 99)						
2.7 & 2.8 (to be used for Analysis plan, section market response, topic 1 g)	2.7. What types of taxes / levies are being paid by traders on this market? (yes = 1; no = 2; no answer = 99)			2.8. How much has to be paid? (amount in local currency per unit; no answer = 99; unit can be month/year/bag/animal/amount)			
	2.7.a	License fee		2.8.a			
	2.7.b	Market tax/fee		2.8.b			
	2.7.c	Sales/purchase tax		2.8.c			
	2.7.d	Veterinary tax		2.8.d			
	2.7.e	Other		2.8.e			
OBSERVATIONS DURING MARKET VISIT: [PLEASE DESCRIBE KEY FEATURES RELATED TO THE FOLLOWING TOPICS:]							
3.1 Number and profile of people accessing markets							
3.2 Amounts and type of products for sale on the market							
3.3 Access and market infrastructure							
3.4 Activity levels on the market during the visit							
SPECIFIC REMARKS ABOUT THE INTERVIEW:							